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JUL 1 7 2002

# HE UNITED STATES PATENT AND TRADEMARK (

In re Application of: SCOTT C. JOHNSON ET AL.

Filed:

**NOVEMBER 2, 2001** 

For:

SYSTEMS AND METHODS FOR INTELLIGENT INFORMATION CENter 2100

RETRIEVAL AND DELIVERY IN AN INFORMATION

MANAGEMENT ENVIRONMENT

Serial No.:

10/003,728

Group Art Unit:

2152

Examiner:

**UNKNOWN** 

Atty Docket No.:

SURG:161

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 10-1205/SURG:161

Per 37 CFR 1.98(d), no copies of references C94 to C113 have been provided, as copies of these references have been previously submitted to the Office in one or more of co-pending U.S. Patent Application Serial Nos. 09/947,869; 09/879,810; 09/797,198; 09/797,201 and 09/797,200 and which are relied upon by the present application for an earlier effective filing date under 35 U.S.C. Section 120.

A copy of the listed document(s) required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Applicant respectfully requests that the listed document(s) be made of record in the present case.

Respectfully submitted,

William W. Enders

Reg. No. 41,735

Attorney for Applicant

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Enclosures

Form PTO-1449 (modified)

List of Patents and Publications for A

#### INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.

SURG:161

Applicant

Scott C. Johnson et al.

Filing Date:

November 2, 2001

Serial No.

10/003,728

Group:

Group:

2152

U.S. Patent Documents
See Page
See Page
See Page
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Street Documents
See Page
See Page See Pages 1-3

#### **U.S. Patent Documents**

Exam Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1						

#### **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						

#### Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C85	"TrueSan FusionOS <sup>TM</sup> Software: The Information Renaissance: Unifying Storage Applications and Transports", TrueSan Networks, Inc., 2001, pgs. 1-11.
	C86	"Increasing Intelligence Within The San Fabric", Brocade, White Paper, June 2001, pgs. 1-6.
	C87	"The Essential Elements Of A Storage Networking Architecture", Brocade, White Paper, June 2001, pgs. 1-10.
	C88	"Brocade Fabric OS For 2 Gbit/sec Switches", Brocade, October 2001, 4 pgs.
	C89	"System Level Reference Design For IEEE 1355 Packet Routing Switch", Xilinx, December 7, 2001, pgs. 1-5.
	C90	BigIron Architecture Technical Brief, White Paper, Foundary Networks BigIron, Version 3.2, December 2001, pgs. 1-16.
	C91	Data Sheet, Cisco 12410 Internet Router, April 23, 2001, 12 pgs.
	C92	"Virtual Interface Architecture Specification", Compaq Computer Corporation, Intel Corporation and Microsoft Corporation, Version 1.0, December 16, 1997, pgs. 1-83.
	C93	"ATM" Computer Desktop Encyclopedia, <a href="http://www.techweb.com/encycolopedia/defineterm?term=atm">http://www.techweb.com/encycolopedia/defineterm?term=atm</a> , 1/18/02, pgs. 1-5
	C94	Copending U.S. Patent Application Serial No. 09/797,414, filed March 1, 2001, "Network Content Delivery System With Peer To Peer Processing Components"

Examiner:	 Date Considered
LXAIIIIICI.	Date Considered

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 2 JUL 1 5 2002 Atty. Docket No. Form PTO-1449 (modified) Serial No. SURG:161 10/003,728 Applicant List of Patents and Publications for Scott C. Johnson et al. INFORMATION DISCLOSURE STATEMENT Group: Filing Date: (Use several sheets if necessary) November 2, 2001 2152 Foreign Patent Documents Other Art U.S. Patent Documents See Pages 1-3 See Page See Page

### Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C95	Copending U.S. Patent Application Serial No. 09/797,411, Filed March 1, 2001, "Network Security Accelerator"
	C96	Copending U.S. Patent Application Serial No. 09/797,198, Filed March 1, 2001, "Systems And Methods For Management of Memory"
	C97	Copending U.S. Patent Application Serial No. 09/797,413, Filed March 1, 2001, "Network Connected Computing System"
	C98	Copending U.S. Patent Application Serial No. 09/797,199, Filed March 1, 2001, "System And Apparatus For Accelerating Content Delivery Throughout Networks"
	C99	Copending U.S. Application Serial No. 09/797,200, Filed March 1, 2001, "Systems And Methods For The Deterministic Management Of Information"
	C100	Copending U.S. Application Serial No. 09/797,197, Filed March 1, 2001, "Method And Systems For The Order Serialization Of Information In A Network Processing Environment"
,	C101	Copending U.S. Application Serial No. 09/797,404, Filed March 1, 2001, "Interprocess Communications Within A Network Node Using Switch Fabric"
	C102	Copending U.S. Application Serial No. 09/797,507, Filed March 1, 2001, "Single Chassis Network Endpoint System With Network Processor For Load Balancing"
	C103	Copending U.S. Application Serial No. 09/797,201, Filed March 1, 2001, "Systems And Methods For Management Of Memory In Information Delivery Environments"
	C104	Copending U.S. Application Serial No. 09/797,412, Filed March 1, 2001, "Network Transport Accelerator"
	C105	Copending U.S. Application Serial No. 09/797,506, Filed March 1, 2001, "Network Endpoint System With Accelerated Data Path"
·	C106	Copending U.S. Application Serial No. 09/797,195, Filed March 1, 2001, "Content Delivery System Providing Accelerate Content Delivery"
.,	C107	Copending U.S. Application Serial No. 09/879,810, Filed June 12, 2001, "Systems And Methods For Providing Differentiated Service In Information Management Environments"
	C108	Copending U.S. Application Serial No. 09/879,811, Filed June 12, 2001, "Systems and Methods For Managing Differentiated Service In Information Management Environments"

Examiner:	Date Considered:	

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Page 3 Atty. Docket No. Serial No. Form PTO-1449 (modified) SURG:161 10/003,728 **Applicant** List of Patents and Publications for Ag Scott C. Johnson et al. INFORMATION DISCLOSURE STATEMENT Group: Filing Date: (Use several sheets if necessary) November 2, 2001 2152 **Foreign Patent Documents** Other Art U.S. Patent Documents See Pages 1-3 See Page See Page

## Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C109	Copending U.S. Application Serial No. 09/879,834, Filed June 12, 2001, "Systems And Methods For Prioritization In Information Management Environments"
	C110	Copending U.S. Application Serial No. 09/879,812, Filed June 12, 2001, "Systems And Methods For Billing In Information Management Environments"
	C111	Copending U.S. Application Serial No. 09/879,809, Filed June 12, 2001, "Systems And Methods For Resource Tracking In Information Management Environments"
	C112	Copending U.S. Application Serial No. 09/879,836, Filed June 12, 2001, "Systems and Methods For Configuration Of Information Management Systems"
	C113	Copending U.S. Application Serial No. 09/879,848, Filed June 12, 2001, "Systems And Methods For Providing Differentiated Business Services In Information Management Environments"
	C114	Copending U.S. Patent Application Serial No. 09/947,869, filed September 6, 2001, "Systems And Methods For Resource Management In Information Storage Environments", (SURG:156)
	C115	Copending U.S. Patent Application Serial No. 09/970,457, Filed October 3, 2001, "Systems and Methods For Resource Management In Information Storage Environments", (SURG:160)
	C116	Copending U.S. Patent Application Serial No. 09/970,452, Filed October 3, 2001, "Systems And Methods For Resource Management In Information Storage Environments", (SURG:159)

Examiner:	Date Considered: